

# Causal inference in registry research

Magne Haugland Solheim  
Øystein Ariansen Haaland  
Copenhagen, January 2019

# Outline

Causality vs. Association

Natural experiments

# Causality vs. association



# Causality vs. association



E → O  
child in kitchen      milk spilled

CAUSALITY

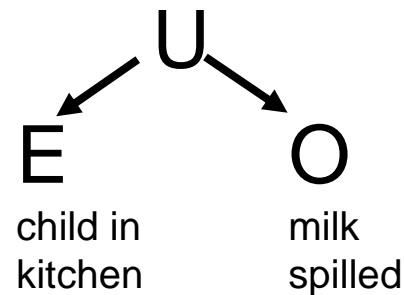
# Causality vs. association



E ← O  
child in kitchen      milk spilled

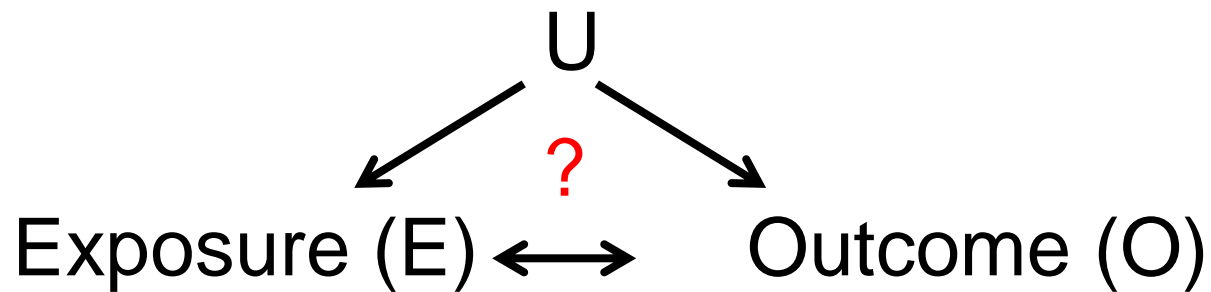
REVERSE  
CAUSALITY

# Causality vs. association

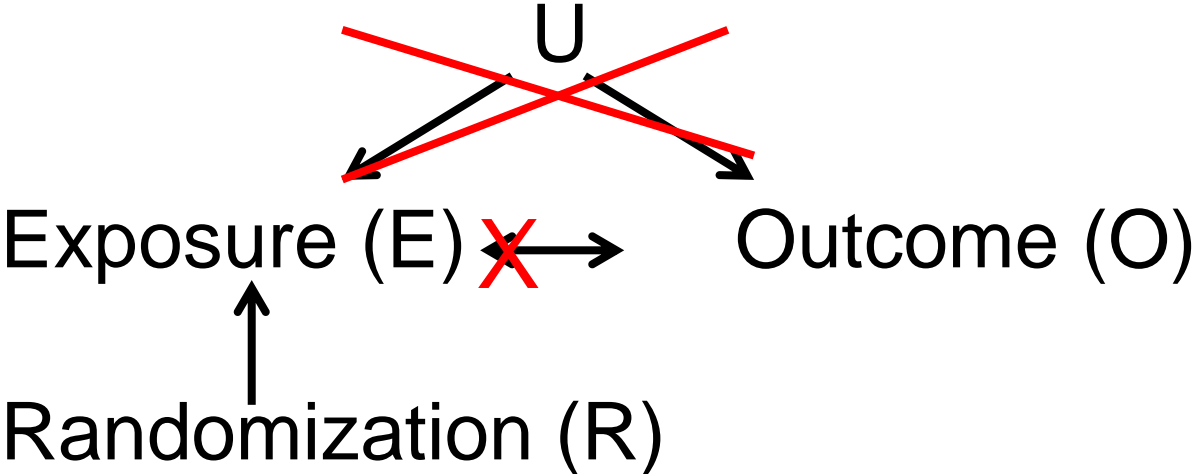


CONFOUNDING

# Causality vs. association

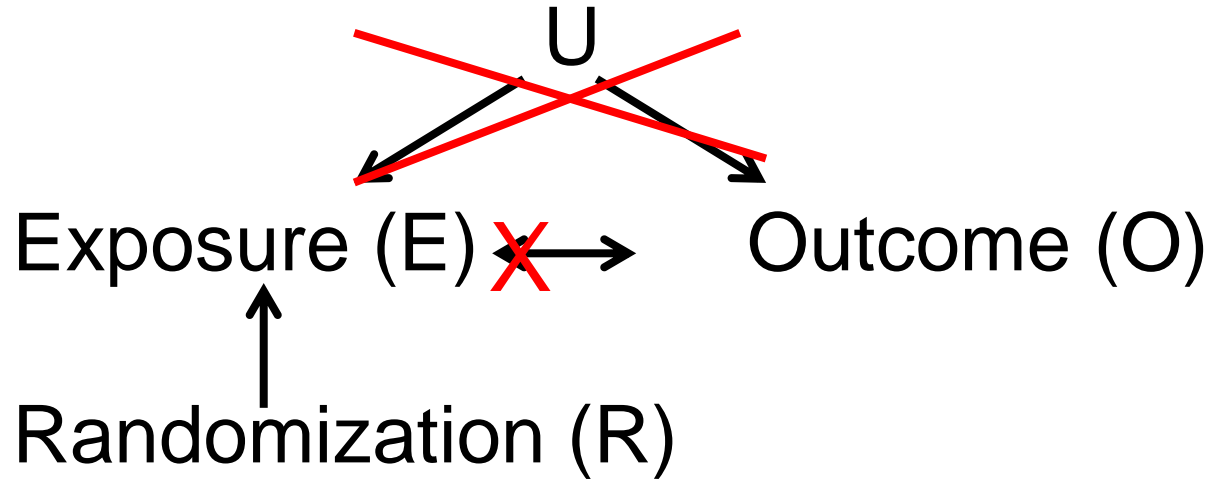


# Randomized trial





# Randomized trial



Often impractical or unethical!

# Natural experiments



# Antidepressants vs. self-harm and suicide

Are TCAs or SSRIs more likely to prevent self-harm and suicide?

- Consider all patients given TCAs or SSRIs
- Compare rates of self-harm and suicide

# Antidepressants vs. self-harm and suicide

Are TCAs or SSRIs more likely to prevent self-harm and suicide?

- Consider all patients given TCAs or SSRIs
- Compare rates of self-harm and suicide

Risk difference: 0.11 per 100 in favor of TCAs (95% CI: 0.08 - 0.14)

# Antidepressants vs. self-harm and suicide

Are TCAs or SSRIs more likely to prevent self-harm and suicide?

- Consider all patients given TCAs or SSRIs
- Compare rates of self-harm and suicide

Risk difference: 0.11 per 100 in favor of TCAs (95% CI: 0.08 - 0.14)

OK?

# Antidepressants vs. self-harm and suicide

Are TCAs or SSRIs more likely to prevent self-harm and suicide?

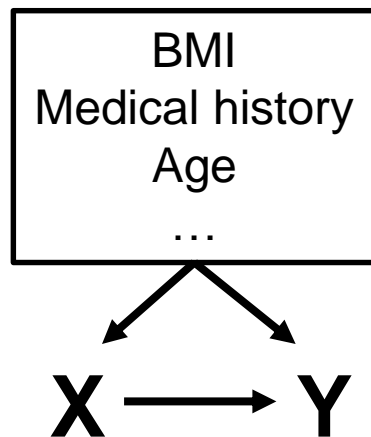
- Consider all patients given TCAs or SSRIs
- Compare rates of self-harm and suicide

Risk difference: 0.11 per 100 in favor of TCAs (95% CI: 0.08 - 0.14)

Perhaps healthier patients tend to get TCAs?

# Antidepressants vs. self-harm and suicide

Let **X** be drug status (TCA vs SSRI) and **Y** be outcome (self-harm or suicide).



# Instrumental variable analysis

Consider instrument, **I**, as the exposure in addition to **X**.

$$\mathbf{I} \rightarrow \mathbf{X} \rightarrow \mathbf{Y}$$



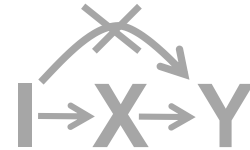
# Instrumental variable analysis

## Need assumptions:

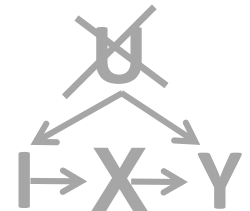
1- Causal relationship between **I** and **X**



2- The effect of **I** on **Y** is only through **X**



3- No common causes of **I** and **Y**



# Instrumental variable analysis

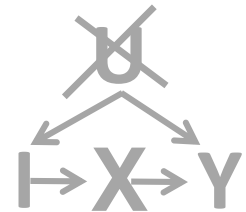
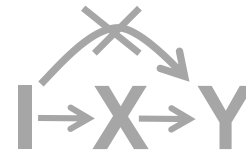
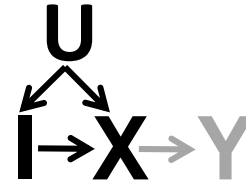
## Need assumptions:

1- Causal relationship between **I** and **X**

1- OK

2- The effect of **I** on **Y** is only through **X**

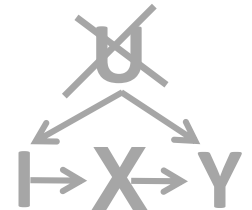
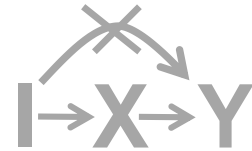
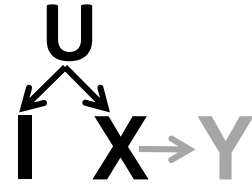
3- No common causes of **I** and **Y**



# Instrumental variable analysis

## Need assumptions:

- 1- Causal relationship between **I** and **X**
- 1- Also OK
- 2- The effect of **I** on **Y** is only through **X**
- 3- No common causes of **I** and **Y**



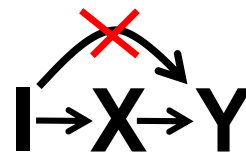
# Instrumental variable analysis

## Need assumptions:

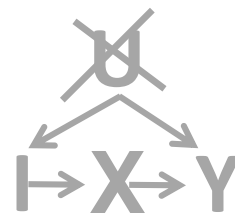
1- Causal relationship between **I** and **X**



2- The effect of **I** on **Y** is only through **X**



3- No common causes of **I** and **Y**



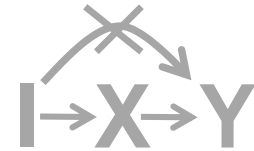
# Instrumental variable analysis

## Need assumptions:

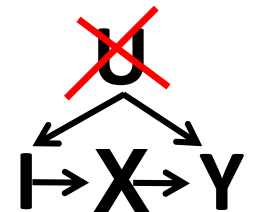
1- Causal relationship between **I** and **X**



2- The effect of **I** on **Y** is only through **X**



3- No common causes of **I** and **Y**



# Instrumental variable analysis



Journal of Clinical Epidemiology 66 (2013) 1386–1396

**Journal of  
Clinical  
Epidemiology**

Physicians' prescribing preferences were a potential instrument  
for patients' actual prescriptions of antidepressants

Neil M. Davies<sup>a,b,\*</sup>, David Gunnell<sup>a</sup>, Kyla H. Thomas<sup>a</sup>, Chris Metcalfe<sup>a</sup>, Frank Windmeijer<sup>c</sup>,  
Richard M. Martin<sup>a,b</sup>

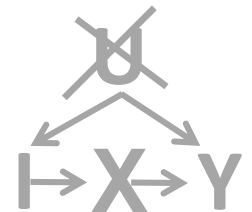
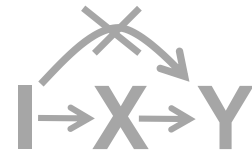
# Instrumental variable analysis

## Need assumptions:

1- Causal relationship between **I** and **X**  
**OK:** PP affects choice of TCA vs. SSRI.

2- The effect of **I** on **Y** is only through **X**

3- No common causes of **I** and **Y**



# Instrumental variable analysis

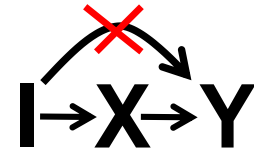
## Need assumptions:

1- Causal relationship between **I** and **X**

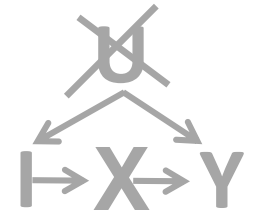


2- The effect of **I** on **Y** is only through **X**

**OK:** PP does not cause self-harm or suicide



3- No common causes of **I** and **Y**





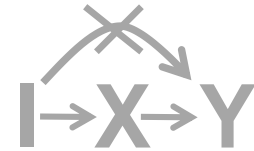
# Instrumental variable analysis

## Need assumptions:

1- Causal relationship between **I** and **X**

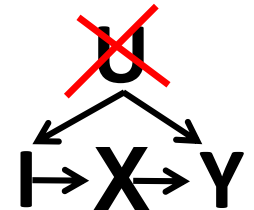


2- The effect of **I** on **Y** is only through **X**



3- No common causes of **I** and **Y**

**OK?:** Any common causes of PP and self-harm or suicide?



# Instrumental variable analysis

Are TCAs or SSRIs more likely to prevent self-harm and suicide?

Risk difference: 0.11 per 100 in favor of TCAs (95% CI: 0.08 - 0.14)

IVA-adjusted risk difference: 0.10 (0.01 – 0.20)

# Instrumental variable analysis

Are TCAs or SSRIs more likely to prevent self-harm and suicide?

Risk difference: 0.11 per 100 in favor of TCAs (95% CI: 0.08 - 0.14)

IVA-adjusted risk difference: 0.10 (0.01 – 0.20)

**WHICH DRUG WOULD YOU PREFER?**

# Instrumental variable analysis

Other instruments:

- Genes (Mendelian randomization)
- Distance from hospital
- Month of birth
- Timing of admission (weekend vs. week day)

# Geography

PERINATAL EPIDEMIOLOGY

## **Prenatal exposure to Chernobyl fallout in Norway: neurological and developmental outcomes in a 25-year follow-up**

Rolv Terje Lie<sup>1,2</sup> · Dag Moster<sup>1,3</sup> · Per Strand<sup>4,5</sup> · Allen James Wilcox<sup>6</sup>

# Geography

## Birth Registry

- Mothers' municipality of residence at birth
- Gestational age
- Birth date

## National Insurance Scheme

- Medical diagnoses

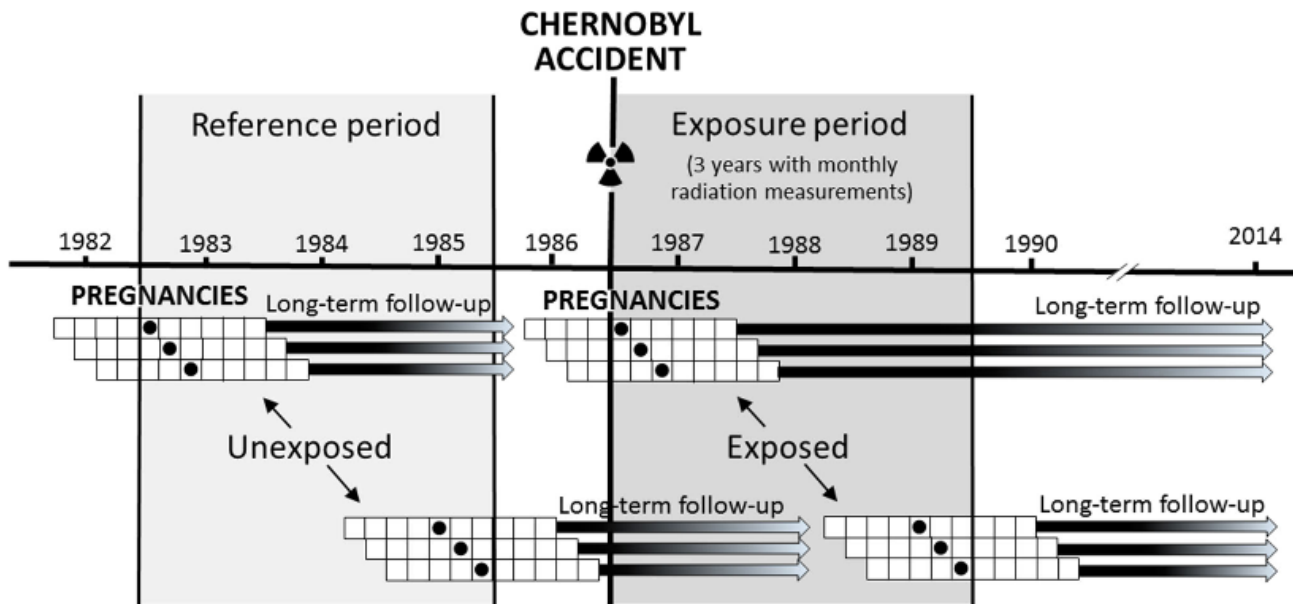
## Central Bureau of Statistics

- Education
- Income

## Norwegian Radiation Protection Agency

- Radiation at municipality level for 36 months after disaster (April 1986)

# Geography



**Fig. 1** Identification of persons from the exposure period for each calendar month and corresponding persons from the reference period for a particular municipality. Persons are included if calendar month 5

of pregnancy (counting month of LMP as month 1, and marked here by a dot) fell within the exposure or the reference period

Condition	RRR or ROR (95% CI)
Cerebral palsy	0.6 (0.3 – 1.2)
Mental retardation	1.1 (0.7 – 1.7)
Schizophrenia	1.7 (0.6 – 4.5)
Epilepsy	1.0 (0.6 – 1.7)
Hearing or vision problems	2.2 (1.0 – 5.0)
Not completed high school	1.07 (0.95 – 1.20)
Low income (<20%)	0.94 (0.80 – 1.11)
Low grade in mathematics	1.17 (0.92 – 1.48)
Low grade in Norwegian	1.16 (0.83 – 1.62)



Condition	RRR or ROR (95% CI)
Cerebral palsy	0.6 (0.3 – 1.2)
Mental retardation	1.1 (0.7 – 1.7)
Schizophrenia	1.7 (0.6 – 4.5)
Epilepsy	1.0 (0.6 – 1.7)
Hearing or vision problems	2.2 (1.0 – 5.0)
Not completed high school	1.07 (0.95 – 1.20)
Low income (<20%)	0.94 (0.80 – 1.11)
Low grad in mathematics	1.17 (0.92 – 1.48)
Low grad in Norwegian	1.16 (0.83 – 1.62)

LIMITATIONS?

# Family

Children born after in-vitro fertilisation (IVF) have...

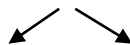
- ...lower birth weight [25g (14g – 35g)]
- ...shorter duration of gestation [ 2.0d (1.6d – 2.3d)]
- ...increased risk of being small for gestational age [OR 1.26 (1.10 – 1.44)]
- ...increased risk of perinatal death [OR 1.31 (1.05 – 1.65)]

# Family

Children born after in-vitro fertilisation (IVF) have...

- ...lower birth weight [25g (14g – 35g)]
- ...shorter duration of gestation [ 2.0d (1.6d – 2.3d)]
- ...increased risk of being small for gestational age [OR 1.26 (1.10 – 1.44)]
- ...increased risk of perinatal death [OR 1.31 (1.05 – 1.65)]

Any confounders?



IVF status → Outcome

# Family

## Effects of technology or maternal factors on perinatal outcome after assisted fertilisation: a population-based cohort study

*Liv Bente Romundstad, Pål R Romundstad, Arne Sunde, Vidar von Düring, Rolv Skjærven, David Gunnell, Lars J Vatten*

Considered children of women who had conceived

- at least once using IVF
- at least once using other approaches

# Family

## Effects of technology or maternal factors on perinatal outcome after assisted fertilisation: a population-based cohort study

*Liv Bente Romundstad, Pål R Romundstad, Arne Sunde, Vidar von Düring, Rolv Skjærven, David Gunnell, Lars J Vatten*

Compared with non-IVF siblings, children born after IVF have...

- ...similar birth weight [9g (-18g – 36g)]
- ...similar duration of gestation [ 0.6d (-0.5d – 1.7d)]
- ...similar risk of being small for gestational age [OR 0.99 (0.62 – 1.57)]
- ...lower(!) risk of perinatal death [OR 0.36 (0.20 – 0.67)]



# Family

## Effects of technology or maternal factors on perinatal outcome after assisted fertilisation: a population-based cohort study

*Liv Bente Romundstad, Pål R Romundstad, Arne Sunde, Vidar von Düring, Rolv Skjærven, David Gunnell, Lars J Vatten*

Compared with non-IVF siblings, children born after IVF have...

- ...similar birth weight [9g (-18g – 36g)]
- ...similar duration of gestation [ 0.6d (-0.5d – 1.7d)]
- ...similar risk of being small for gestational age [OR 0.99 (0.62 – 1.57)]
- ...lower(!) risk of perinatal death [OR 0.36 (0.20 – 0.67)]

**LIMITATIONS?**



# Family

Daughters of mothers who had an episode of preeclampsia are themselves at increased risk

# Family

Daughters of mothers who had an episode of preeclampsia are themselves at increased risk



Risky womb (mother to daughter)?



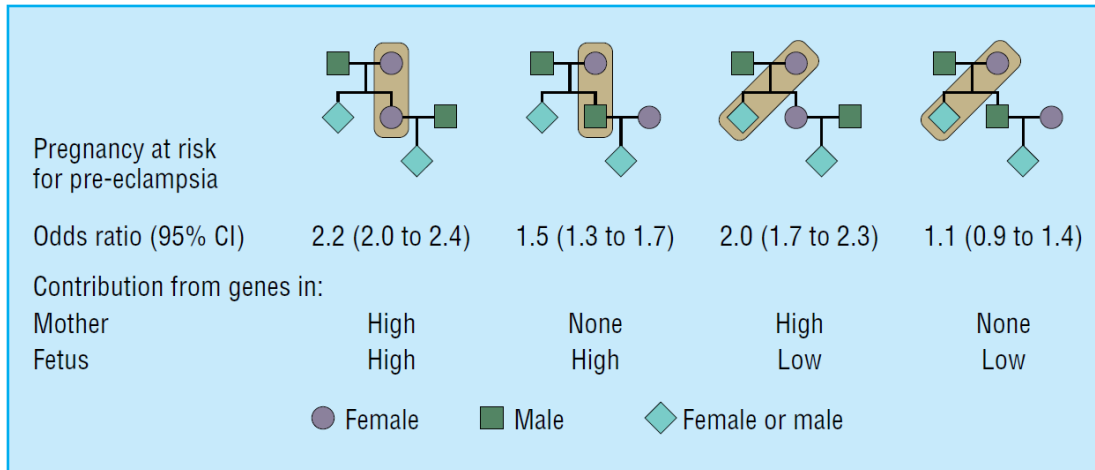
Bad child (daughter to child)?



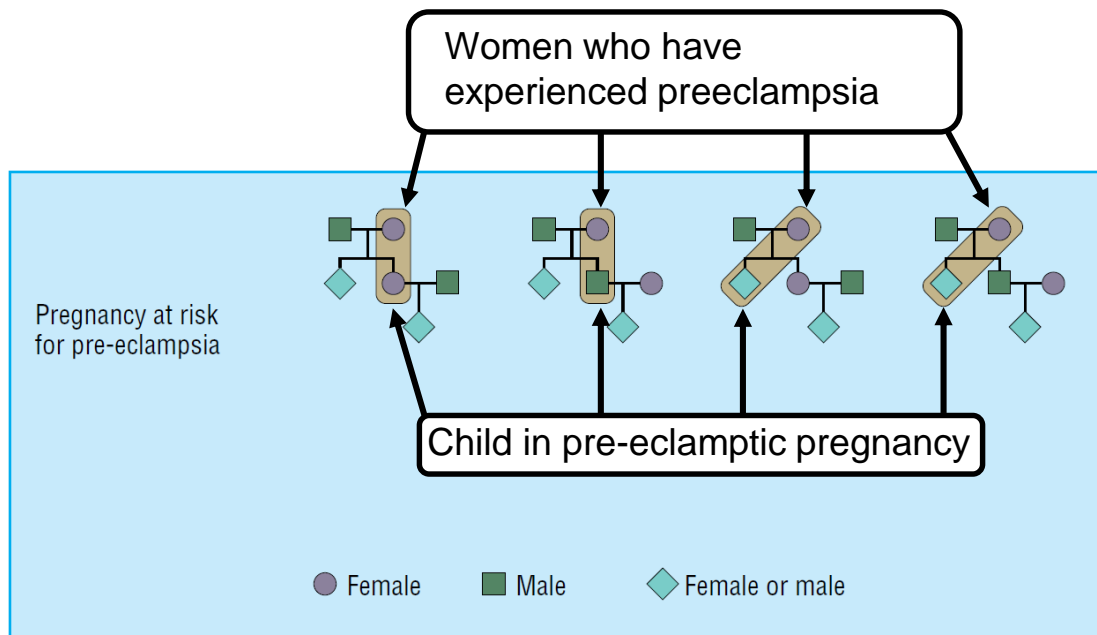
# Family

## Recurrence of pre-eclampsia across generations: exploring fetal and maternal genetic components in a population based cohort

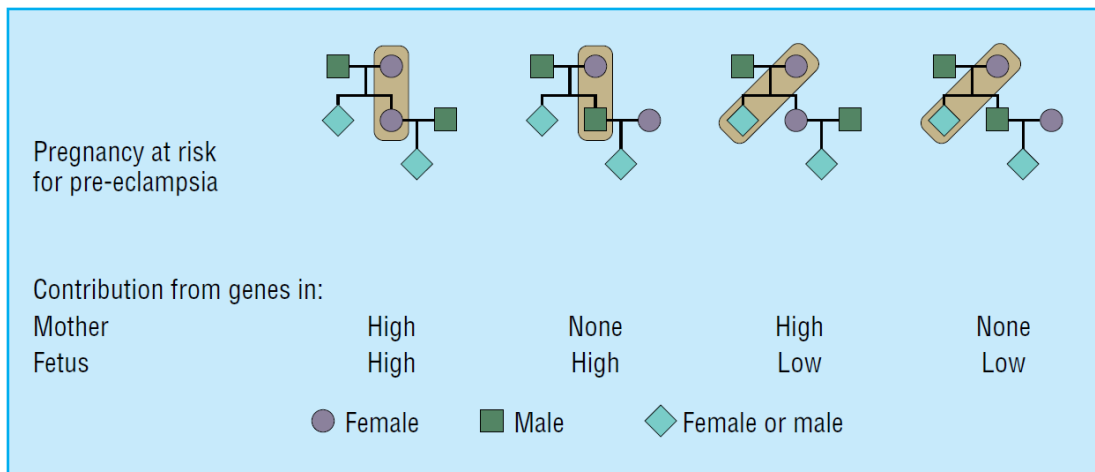
Rolv Skjærven, Lars J Vatten, Allen J Wilcox, Thorbjørn Rønning, Lorentz M Irgens, Rolv Terje Lie



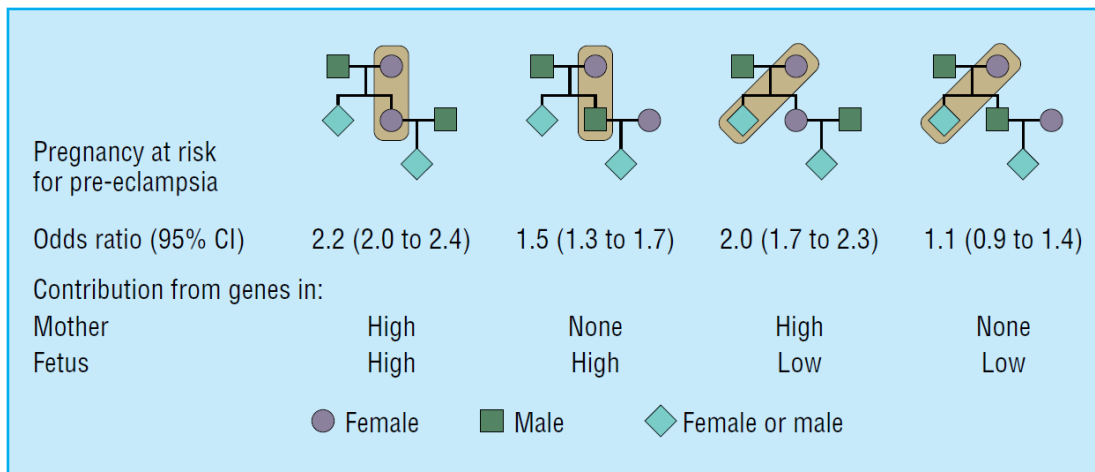
# Family



# Family

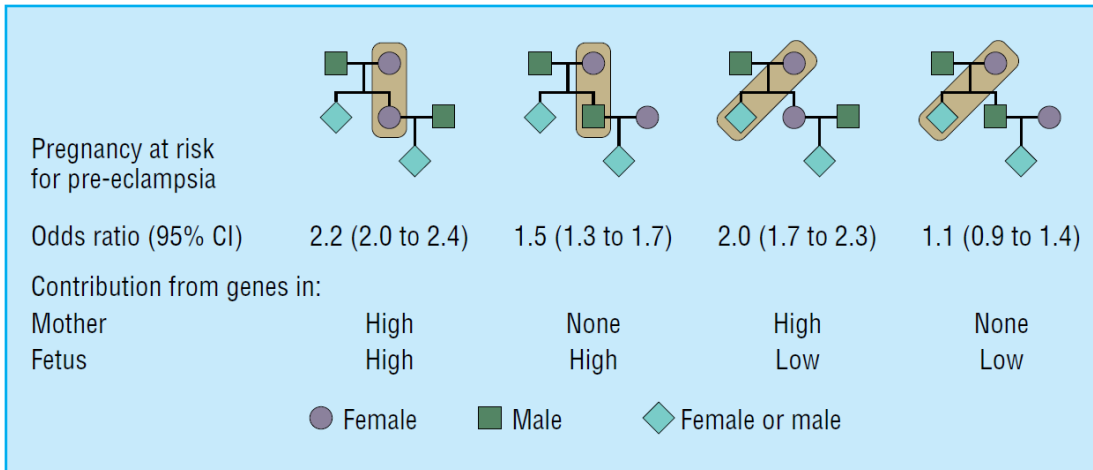


# Family

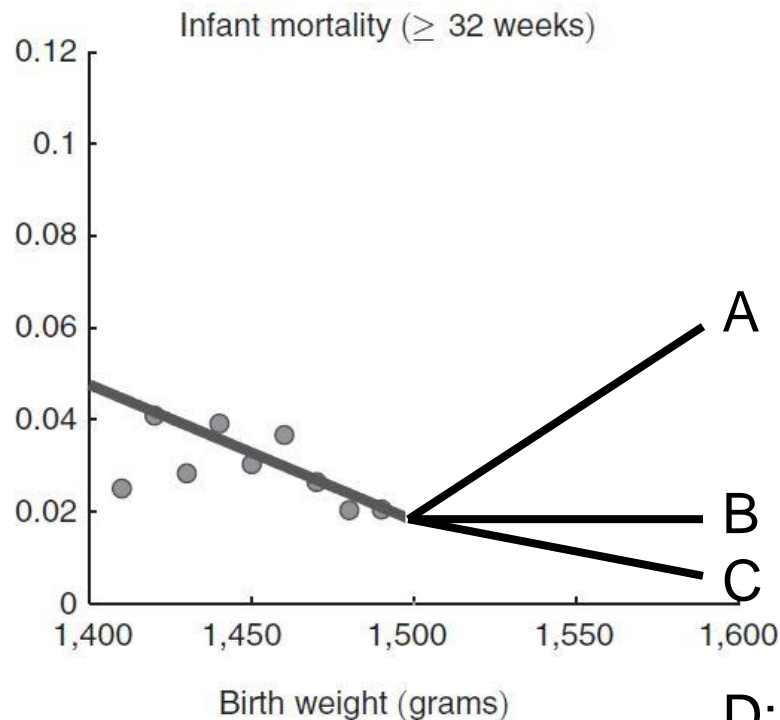


# Family

## LIMITATIONS?

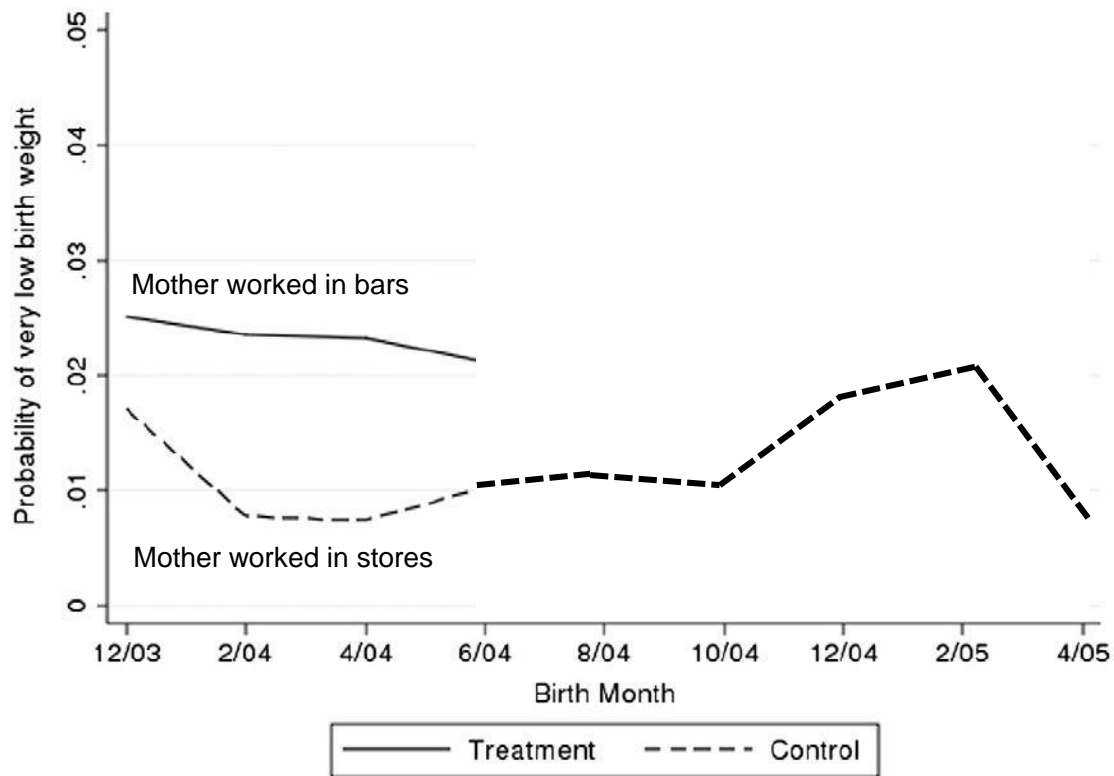


# What happens (vote by raising hand)?

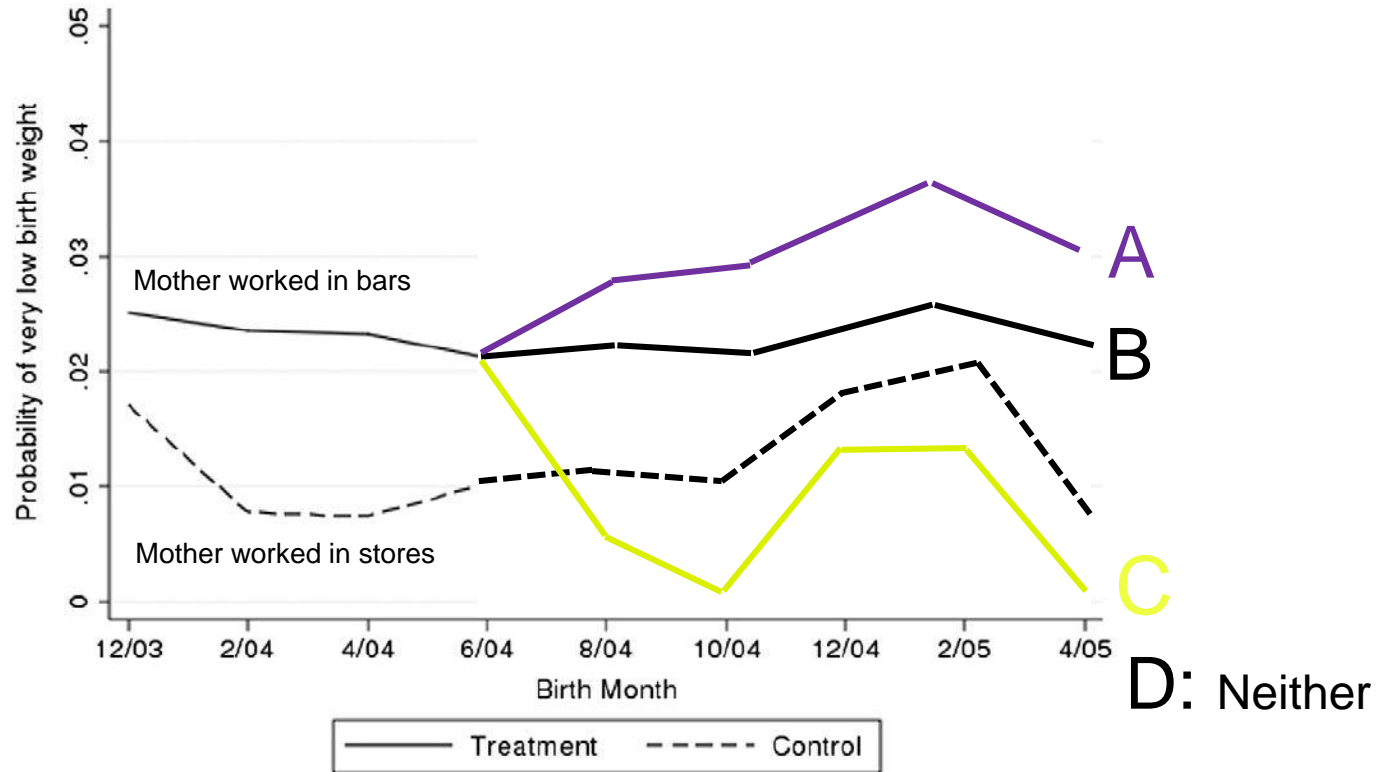


D: Neither

# What happens?



# What happens (vote by raising hand)?





# Ignorance

## The Effect of the Type of Cement on Early Revision of Charnley Total Hip Prostheses

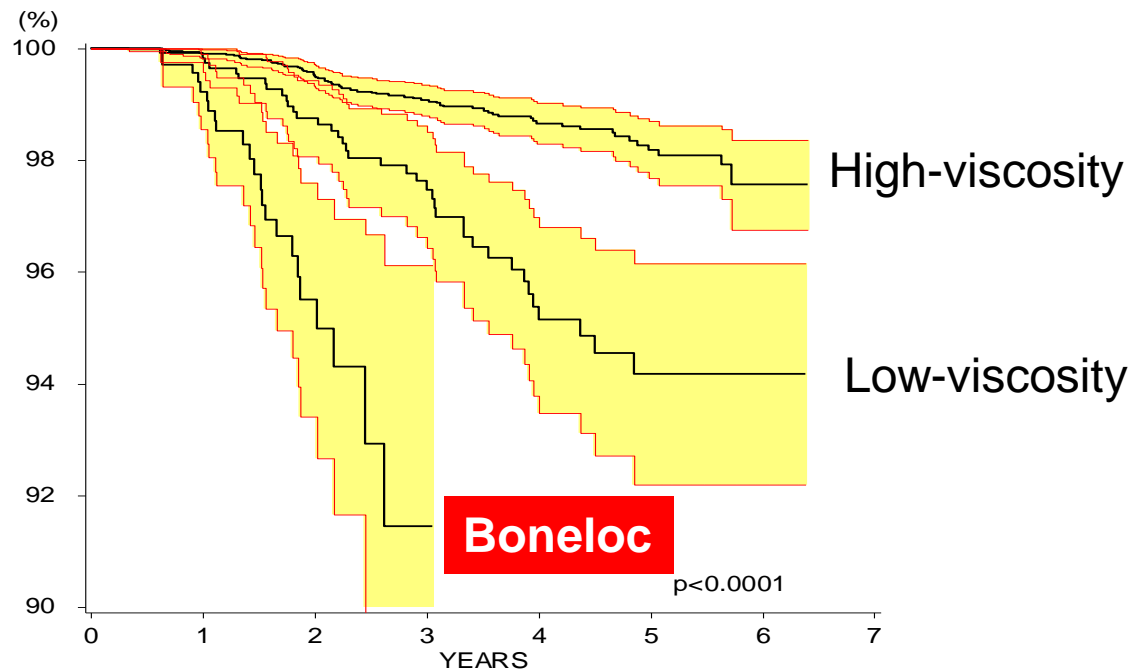
A REVIEW OF EIGHT THOUSAND FIVE HUNDRED AND SEVENTY-NINE PRIMARY ARTHROPLASTIES  
FROM THE NORWEGIAN ARTHROPLASTY REGISTER\*

BY LEIF IVAR HAVELIN, M.D.†, BIRGITTE ESPEHAUG, M.SC.†, STEIN EMIL VOLLSET, M.D., M.P.H., DR.P.H.†,  
AND LARS BIRGER ENGESÆTER, M.D., PH.D.†, BERGEN, NORWAY

Which kind of technique yields the longest survival for hip prostheses?

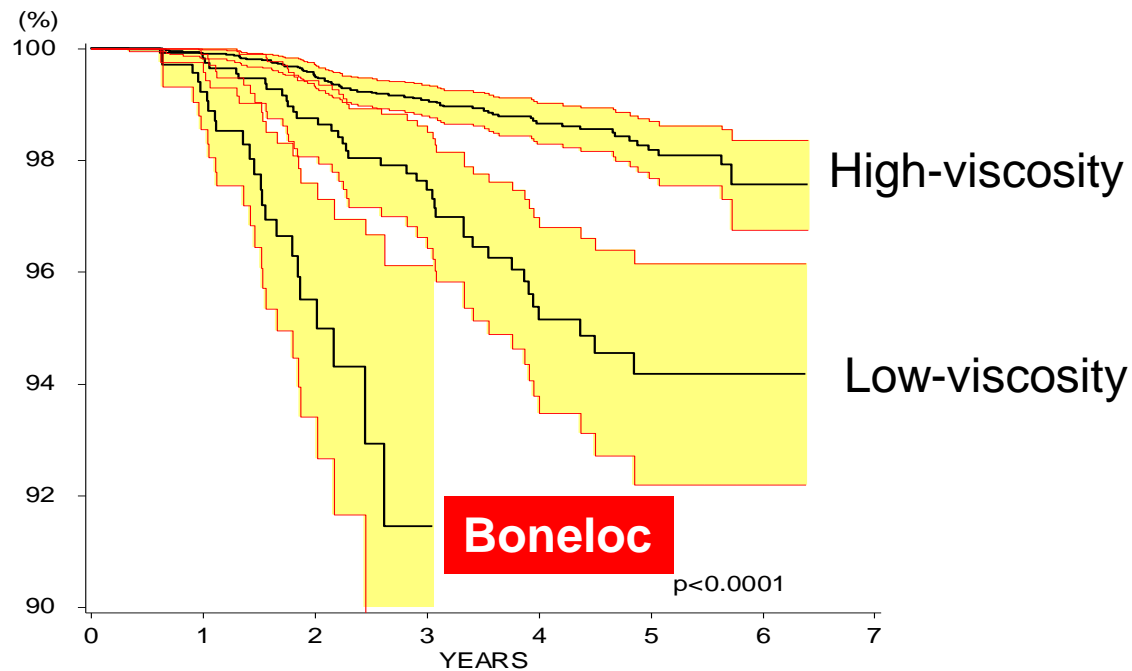


# Ignorance



# Ignorance

## LIMITATIONS?

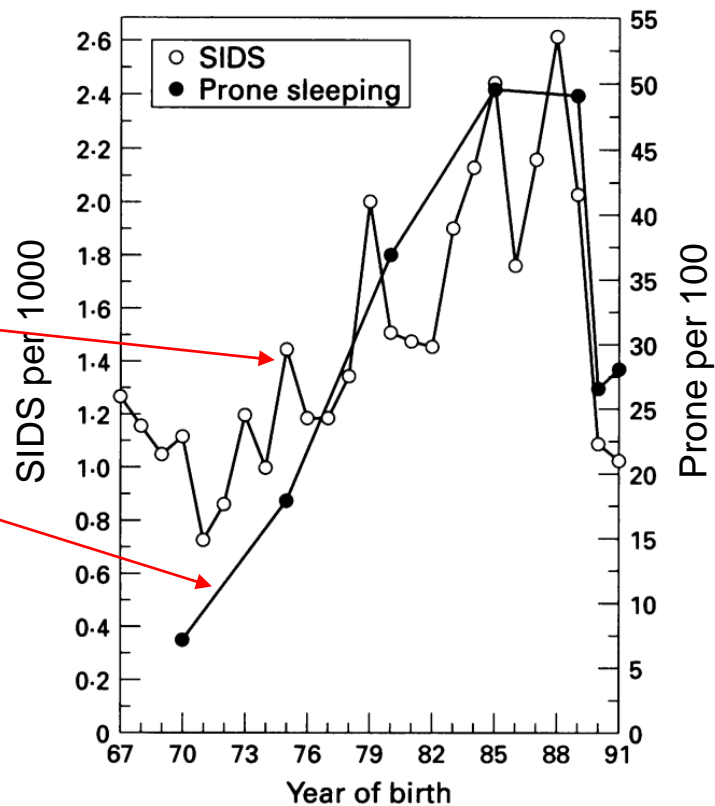


# Interrupted time-series

Sudden infant death syndrome (SIDS)

Risk of SIDS in Norway

Prone sleeping (on the belly)



Irgens et al., 1995

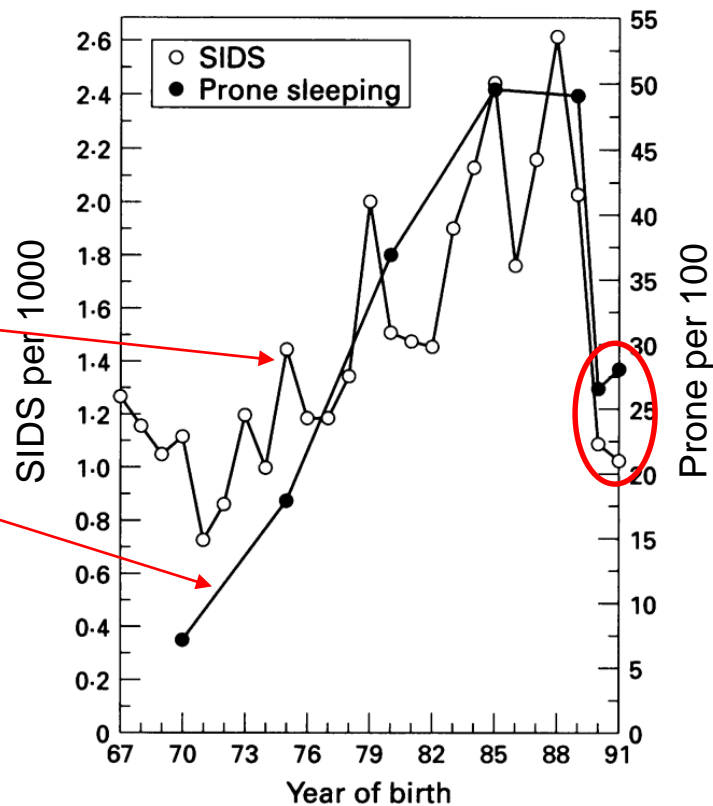
# Interrupted time-series

Sudden infant death syndrome (SIDS)

Risk of SIDS in Norway

Prone sleeping (on the belly)

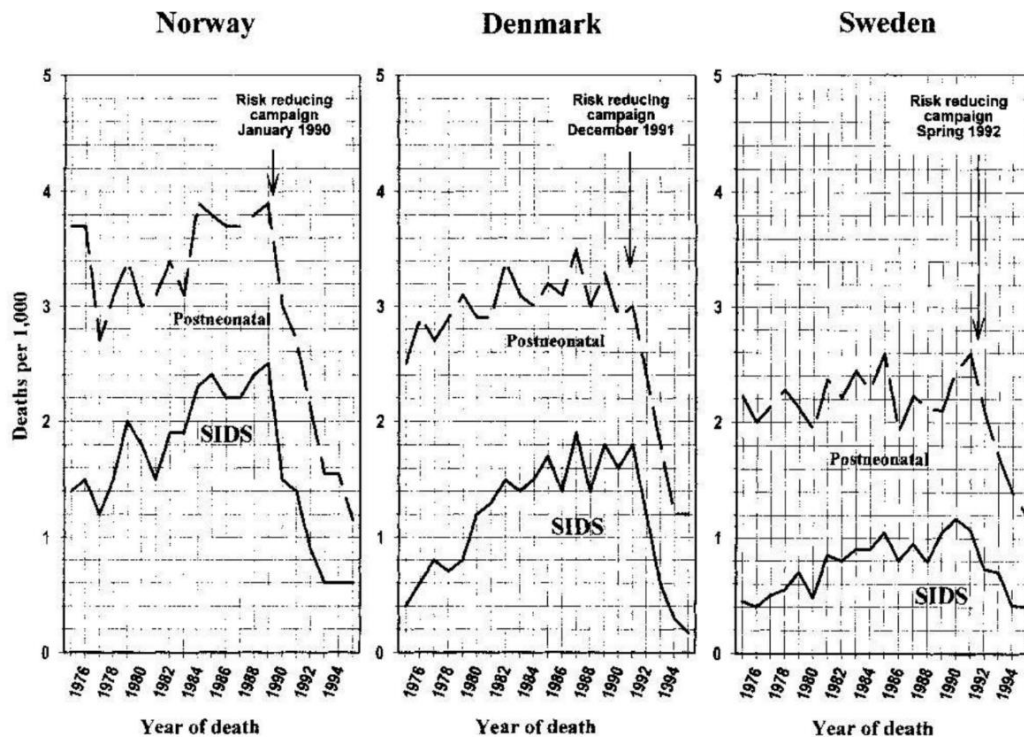
From January 1990 mothers were advised to avoid prone sleeping



Irgens et al., 1995

# Interrupted time-series

Similar campaigns in Denmark and Sweden as well.

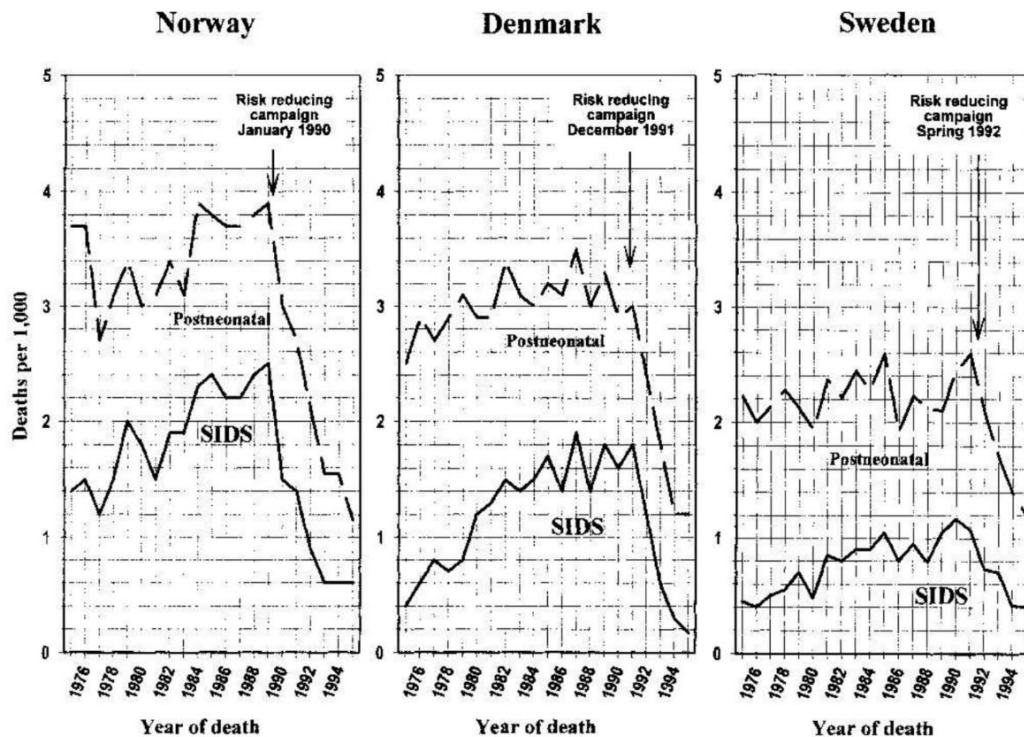


Wennergren et al., 1997

# Interrupted time-series

Similar campaigns in Denmark and Sweden as well.

Are findings causal?



Wennergren et al., 1997

Thanks for listening!